

## Item 7b – Authority Proforma Tool (APT) submission January 2016

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Barnet submitted the APT to the government on 21<sup>st</sup> January 2016. This detailed Barnet’s final funding formula for use in 2016/17 for both maintained schools and academies. Please see Appendix 1 for the full details of the factors used and the rates applied.

On 6th January the Children Education Libraries and Safeguarding (CELS) committee agreed the changes to the funding formula proposed by the Schools Forum concerning the scaling system for capping gains and an increase in the Age Weighted Pupil Unit (AWPU). However further issues came to light when the schools data from the October census was received from the government in December, as it was found that the IDACI scores were substantially lower for all schools.

The Barnet formula for 2015/16 used bands 4,5, and 6 of the Income Deprivation Affecting Children Index (IDACI). The government used new data and rebased their indices of multiple deprivation, including IDACI, in the autumn of 2015 with the result that most postcodes in Barnet (and London generally) moved to a lower band. Barnet now has no postcodes in Band 6 or above. Regeneration will have had an effect in some areas, but the change in the IDACI score relative to other parts of the UK is the main reason for the change.

Had the same funding formula rates been used in 2016/17 as in 2015/16, many schools would have lost funding and 75% would have been dependent on the minimum funding guarantee. In order to bring schools closer to what they received in 2015/16 and what was predicted in the autumn, the following changes were made to the funding formula rates:

	Primary Rates (£)			Secondary Rates (£)		
	2016/17	2015/16	Change	2016/17	2015/16	Change
AWPU	3,325.75	3,315.75	+10.00	4,772.86	4,762.86	+10.00
FSM 6	1,423.56	1,383.56	+40.00	505.00	1,375.32	-870.32
IDACI band 3	350.00	0.00	+350.00	1,450.00	0.00	+1450.00
IDACI band 4	2,100.00	215.00	+1,885.00	5,225.00	247.00	+4,987.00
IDACI band 5	4,200.00	717.00	+3,483.00	10,450.00	519.00	+9,931.00
IDACI band 6	0.00	4,205.00	-4,205.00	0.00	2,917.00	-2,917.00
EAL 2	530.00	530.00	-	1,378.00	1,378.00	-
Mobility	422.90	422.90	-	618.53	618.53	-

Although there are some large changes in the funding rates, these were necessary to ensure that the same proportion of funding goes to schools via the different factors, especially deprivation (see below) as well as maintaining the primary: secondary ratio of funding per pupil, ie 1:1.29.

It was also found to be more advantageous to the secondary schools to distribute a greater amount via IDACI and less via Free School Meals (Ever 6). Following these changes, the cap on gains which had been proposed as 15% (schools keep 15% of all gains per pupil compared to last year) was able

to be raised to 20% without increasing the overall cost of the Individual Schools Budget (ISB) or disadvantaging other schools.

There are now 24 schools on the MFG (21%) with top-ups ranging from £2,800 to £970,000. 61 schools are capped (53%) with the amount withheld ranging from £242 to £411,000.

**Proportion of the ISB distributed by different categories of funding.**

<b>Category</b>	<b>Percent</b>
AWPU	80%
Deprivation (FSM6 and IDACI)	11%
EAL & Mobility	2%
Lump sum	6%
Other	1%

In cash terms, academies and free schools receive their funding directly from the government apart from that for early years (2,3 & 4 year olds) and high needs top-ups (statements, EHCPs, additional resourced provision).

The APT submission includes budget shares for all mainstream schools, academies and free schools in Barnet, and pupil numbers include estimates of additional pupils for any of those schools opening new year groups in September 2016. The budget shares (as well as base funding for high needs places in additional resourced provision for academies and free schools) is recouped from the DSG by the government which pays the academies and free schools direct.

Income for grants (PE, Universal Infant Free School Meals, Pupil Premium, DFC, etc) and Post 16 education is simpler in that Barnet is only given the funding to be passported to maintained schools. The exception is the pupil premium for Looked After Children which is distributed via the Virtual School to all schools where Barnet looked after children are on roll.

## Appendix 1: Authority Proforma Tool 2016-17

### Local Authority Funding Reform Proforma

LA Name: Barnet  
 LA Number: 302

#### Pupil Led Factors

Reception uplift		No		Pupil Units		0.00				
Description		Amount per pupil		Pupil Units		Sub Total		Total		
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Primary (Years R-6)	£3,325.75		29,160.17		£96,979,424		41.26%		
	Key Stage 3 (Years 7-9)	£4,772.86		11,649.12		£55,599,603		23.65%		
	Key Stage 4 (Years 10-11)	£4,772.86		7,343.38		£35,048,941		14.91%		
								<b>£187,627,968</b>		
Description	Primary amount per pupil	Secondary amount per pupil	Eligible proportion of primary NOR	Eligible proportion of secondary NOR	Sub Total	Total	Proportion of total pre MFG funding (%)	Primary Notional SEN (%)	Secondary Notional SEN (%)	
2) Deprivation	FSM6 % Primary	£1,423.56		7,549.93		£10,747,781	£27,047,701	11.51%	20.00%	20.00%
	FSM6 % Secondary		£505.00		5,556.22	£2,805,893			20.00%	20.00%
	IDACI Band 1	£0.00	£0.00	3,113.26		£0			20.00%	20.00%
	IDACI Band 2	£0.00	£0.00	2,865.91		£0			20.00%	20.00%
	IDACI Band 3	£350.00	£1,045.00	4,785.89		£4,828,814			20.00%	20.00%
	IDACI Band 4	£2,100.00	£5,225.00	1,328.01		£8,088,731			20.00%	20.00%
	IDACI Band 5	£4,200.00	£10,450.00	8.35		£576,482			20.00%	20.00%
IDACI Band 6	£0.00	£0.00	0.00		£0	20.00%	20.00%			
3) Looked After Children (LAC)	LAC X March 14		£0.00		123.97	£0	£4,597,604	0.00%	0.00%	0.00%
	EAL 2 Primary	£530.00		6,048.38		£3,205,642			1.83%	100.00%
4) English as an Additional Language (EAL)	EAL 2 Secondary		£1,378.00		801.28	£1,104,161			100.00%	
5) Mobility	Pupils starting school outside of normal entry dates	£422.90	£618.53	519.10	110.38	£287,801		0.12%	100.00%	
Description	Weighting	Amount per pupil	Percentage of eligible Y1-3 and Y4-6 NOR respectively	Eligible proportion of primary and secondary NOR respectively	Sub Total	Total	Proportion of total pre MFG funding (%)	Primary Notional SEN (%)	Secondary Notional SEN (%)	
6) Prior attainment	N/A	100.00%			£0	£0	0.00%	0.00%	0.00%	
	Secondary pupils not achieving (KS2 level 4 English or Maths)		£0.00		3,339.72			£0	0.00%	0.00%

#### Other Factors

Factor	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Middle School (£)	Lump Sum per All-through School (£)	Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)
7) Lump Sum	£122,000.00	£122,000.00			£13,958,833	5.94%	0.00%
8) Sparsity factor					£0	0.00%	0.00%
9) Fringe Payments	£0.00	£0.00	£0.00	£0.00	£0	0.00%	0.00%
10) Split Sites					£285,580	0.12%	0.00%
11) Rates					£1,547,725	0.66%	0.00%
12) PFI funding					£0	0.00%	0.00%
13) Sixth Form					£0	0.00%	0.00%
14) Exceptional circumstances (can only be used with prior agreement of EFA)					£0	0.00%	0.00%

**Total Funding for Schools Block Formula (excluding MFG Funding Total) (£)** **£235,065,411** **100.00%** **£18,450,403**

15) Minimum Funding Guarantee (MFG is set at -1.5%) **£2,969,071**

Apply capping and scaling factors? (gains may be capped above a specific ceiling and/or scaled) Yes

Capping Factor (%) 0.00% Scaling Factor (%) 80.00%

Total deduction if capping and scaling factors are applied **-£2,647,808**

**Total (£)** **£321,263** **Proportion of Total funding(%)** **0.14%**

MFG Net Total Funding (MFG + deduction from capping and scaling)

Growth fund (if applicable) £1,119,892.00

**Total Funding For Schools Block Formula** **£235,386,675**

% Distributed through Basic Entitlement **79.82%**

% Pupil Led Funding **93.28%**

Primary: Secondary Ratio **1 : 1.29**